

## Note

### Pelikán's Conjecture and Cyclotomic Cosets

F. J. MACWILLIAMS AND A. M. ODLYZKO

*Bell Laboratories, Murray Hill, New Jersey 07974*

*Communicated by the Managing Editors*

Received January 21, 1976

The following conjecture was recently made by J. Pelikán.

Let  $a_0, \dots, a_n$  be an  $(n+1)$ -tuple of 0's and 1's; let  $A_k = \sum_{i=0}^{n-k} a_i a_{i+k}$  for  $k = 0, \dots, n$ . Then if  $n \geq 4$  some  $A_k$  is even.

This paper shows that Pelikán's conjecture is false for infinitely many values of  $n$ . On the other hand it is also shown that the conjecture is true for most values of  $n$ , and a characterization is given of those values of  $n$  for which it fails.

## 1. INTRODUCTION

J. Pelikán [3] recently made a conjecture, which we rephrase as follows:

Let  $a_0, \dots, a_n \in GF(2)$ ; let

$$A_k = \sum_{i=0}^{n-k} a_i a_{i+k}$$

(the sum to be evaluated in  $GF(2)$ ) for  $k = 0, 1, \dots, n$ . Then if  $n \geq 4$  some  $A_k$  is zero.

In this paper we show that this conjecture is false, in fact we obtain the following results.

**THEOREM.** *A counterexample of length  $n$  to Pelikán's conjecture exists if and only if  $2n+1 \in P$  where  $P$  is a nonempty set of odd positive integers, with the following properties. (i) An integer  $r$  belongs to  $P$  if and only if all the prime factors of  $r$  belong to  $P$ . (ii) If  $p$  is a prime, then  $p \in P$  if and only if  $p$  divides  $2^{2s+1} - 1$  for some  $s$ ; in other words if and only if  $\exp_p(2)$  is odd, where  $\exp_p(a)$  is the smallest positive integer  $m$  such that  $a^m \equiv 1 \pmod{p}$ . This implies that if  $p \equiv -1 \pmod{8}$  then  $p \in P$ , and if  $p \equiv \pm 3 \pmod{8}$ , then  $p \notin P$ .*

The last part of the theorem leaves open the question of the behavior of primes  $p \equiv 1 \pmod{8}$ . It turns out that these are sometimes in  $P$  and sometimes not; among primes  $p \equiv 1 \pmod{8}$ ,  $p < 1000$  only 73, 89, 233, 337, 601, 881, and 937 belong to  $P$ . For further results, see [1, 2]. In particular, it is shown there that the Dirichlet density of the primes  $p \in P$ ,  $p \equiv 1 \pmod{8}$ , is  $1/24$ . Using stronger versions of the Chebotarev density theorem it can even be shown that if  $\pi_P(x) = |\{p \in P; p \text{ prime}, p \leq x\}|$ , then

$$\pi_P(x) \sim (7/6) \pi(x; 8, 1) \sim (7/24) \pi(x) \quad \text{as } x \rightarrow \infty.$$

Since for every  $r \in P$  all the prime factors  $p$  of  $r$  have to satisfy  $p \equiv \pm 1 \pmod{8}$ , we conclude that the asymptotic density of  $P$  is zero. Thus Pelikán's conjecture is almost always true.

It will be clear from the proof of the theorem that for those  $n$  for which  $2n + 1 \in P$ , all the counterexamples can be constructed quite easily, and that their number is a power of 2.

## 2. PRELIMINARIES

Recall that  $a_0, a_1, \dots, a_n \in GF(2)$ , and

$$A_k = \sum_{i=0}^{n-k} a_i a_{i+k}.$$

Set

$$f(x) = \sum_{i=0}^n a_i x^i \in GF(2)[x].$$

Then

$$\begin{aligned} f(x) f(x^{-1}) &= \left( \sum_{i=0}^n a_i x^i \right) \left( \sum_{j=0}^n a_j x^{-j} \right) \\ &= \sum_{i,j} a_i a_j x^{i-j} \\ &= \sum_{k=-n}^n x^k \sum_{i-j=k} a_i a_j \\ &= A_0 + \sum_{k=1}^n A_k (x^k + x^{-k}). \end{aligned}$$

Now suppose  $A_i = 1$  for  $0 \leq i \leq n$ . Then

$$\begin{aligned} f(x)f(x^{-1}) &= 1 + \sum_{k=1}^n (x^k + x^{-k}) \\ &= x^{-n}(1 + x + \cdots + x^{2n}) \\ &= x^{-n}((x^{2n+1} + 1)/(x + 1)). \end{aligned}$$

Set  $\hat{f}(x) = x^n f(x^{-1})$ , then

$$f(x)\hat{f}(x) = ((x^{2n+1} + 1)/(x + 1)). \quad (1)$$

The right side is a polynomial of degree  $2n$ , and its  $2n$  zeros are precisely the  $(2n + 1)$ st roots of unity, excluding 1.

Now if  $\alpha$  is a zero of  $f(x)$ , then  $\alpha^{-1}$  is a zero of  $\hat{f}(x)$ , and conversely. Since  $f(x)$  and  $\hat{f}(x)$  are polynomials over  $GF(2)$ , this condition makes it possible to determine all solutions to (1).

### 3. FACTORIZATION OF $x^{2n+1} + 1$ OVER $GF(2)$

We first recall some standard terminology.

Let  $\xi$  be a primitive  $(2n + 1)$ st root of unity. Suppose  $g(x)$  is an irreducible factor of  $x^{2n+1} + 1$  over  $GF(2)$ . If  $\alpha$  is a zero of  $g(x)$ , then  $\alpha = \xi^a$  for some positive integer  $a$ . The other zeros of  $g(x)$  are then precisely  $\xi^{2a}, \xi^{4a}, \dots, \xi^{a2^{k-1}}$  where  $k$  is the smallest positive integer such that  $2^k a \equiv a \pmod{2n + 1}$ .

This result motivates the definition of cyclotomic cosets. The cyclotomic coset of  $a \pmod{2n + 1}$ , which we call  $C_a$ , consists of the numbers

$$a, 2a, 4a, \dots, a2^{k-1}$$

(all reduced  $\pmod{2n + 1}$ ). Of course  $C_a = C_{2a} = C_{4a} = \dots$ . The irreducible factors of  $x^{2n+1} + 1$  over  $GF(2)$  are the polynomials

$$g_a(x) = \prod_{i \in C_a} (x + \xi^i).$$

Now factor  $f(x)$  into irreducible factors

$$f(x) = \prod_{a \in A} g_a(x)$$

where the cyclotomic cosets for  $a \in A$  are distinct. Then it must be that

$$\hat{f}(x) = \prod_{a \in A} g_{-a}(x).$$

Since (1) holds, any nontrivial  $(2n + 1)$ st root of unity is a zero of exactly one of  $f(x), \bar{f}(x)$ . This implies that (1) can happen if and only if  $a$  and  $-a$  are never in the same cyclotomic coset  $\text{mod}(2n + 1)$ , for  $1 \leq a \leq 2n$ .

EXAMPLE. For  $n = 11$ , the cyclotomic cosets  $\text{mod } 23$  are

$$C_0 = \{0\},$$

$$C_1 = \{1, 2, 3, 4, 6, 8, 9, 12, 13, 16, 18\},$$

$$C_5 = \{5, 7, 10, 11, 14, 15, 17, 19, 20, 21, 22\}.$$

Thus in this case there is a solution to (1) and a counterexample to Pelikán's conjecture. This is given by the coefficients of the polynomial

$$f(x) = \prod_{i \in C_1} (x - \xi^i), \quad \text{i.e., } a_0, \dots, a_{11} = 101011100011.$$

Thus the question of when Pelikán's conjecture fails is reduced to the question of finding the numbers  $n$  such that  $a$  and  $-a$  are in distinct cyclotomic cosets  $\text{mod}(2n + 1)$  for all  $a$ ,  $1 \leq a \leq 2n$ . Moreover for such  $n$ , if the number of cyclotomic cosets  $\text{mod}(2n + 1)$  is  $2h + 1$  (including the trivial coset  $\{0\}$ ), then there will be  $2^h$  counterexamples to the conjecture.

#### 4. STRUCTURE OF THE CYCLOTOMIC COSETS

Let  $P$  denote the set of odd integers  $r \geq 3$  for which the cyclotomic cosets  $\text{mod } r$  satisfy the condition that  $a$  and  $-a \text{ mod}(r)$  are never in the same cyclotomic coset for  $1 \leq a \leq r - 1$ . We study the conditions under which  $r \in P$ .

Consider first the case where  $r = p$ , a prime. Then  $C_a = aC_1 = \{a2^i \text{ mod } p, 2^i \in C_1\}$ ; thus  $-a \in C_a$  if and only if  $-1 \in C_1$ , i.e., if and only if  $2^k \equiv -1 \text{ mod}(p)$  for some  $k$ . Now suppose  $m = \exp_p(2)$  (the smallest positive integer such that  $2^m \equiv 1 \text{ mod}(p)$ ). If  $m$  is even, say  $m = 2m'$ , then

$$2^{2m'} - 1 = (2^{m'} - 1)(2^{m'} + 1) \equiv 0 \text{ mod}(p),$$

and so  $2^{m'} + 1 \equiv 0 \text{ mod}(p)$  by minimality of  $m$ . Thus  $p \notin P$  in this case. On the other hand, if  $2^k \equiv -1 \text{ mod}(p)$ , then  $2^{2k} \equiv 1 \text{ mod}(p)$  and so  $m$  divides  $2k$ . If  $m$  is odd this implies  $m$  divides  $k$ , which is patently false. Thus the primes  $p \in P$  are precisely those for which  $\exp_p(2)$  is odd. They are the primes which divide  $2^{2s+1} - 1$  for some  $s$ .

If  $p \equiv -1 \pmod{8}$  then 2 is a quadratic residue, and since the number of quadratic residues is odd,  $\exp_p(2)$  is odd. If  $p \equiv \pm 3 \pmod{8}$  then 2 is a nonresidue, i.e., if  $g$  is a primitive root of  $p$ ,  $2 \equiv g^{2s+1}$ , and  $\exp_p(2) = (p-1)/\gcd(p-1, 2s+1)$ , which is even. This leaves open the case  $p \equiv 1 \pmod{8}$ , in which case  $\exp_p(2)$  can be odd or even, although the odd case is rare.

Now consider the general case. Suppose  $p \in P$ . If  $p^i \notin P$  for some  $i > 1$ , then  $2^k a \equiv -a \pmod{p^i}$ , i.e.,  $(2^k + 1)a \equiv 0 \pmod{p^i}$  for some  $a$ ,  $1 \leq a < p^i - 1$ . But  $p \in P$ , so  $p$  does not divide  $2^k + 1$ , i.e.,  $p^i$  divides  $a$ , which is impossible.

Now suppose  $r, s \in P$  and  $r$  and  $s$  are relatively prime. If  $rs \notin P$ , then  $2^k a \equiv -a \pmod{rs}$  for some  $a$ ,  $1 \leq a \leq rs - 1$ . Then  $rs \mid (2^k + 1)a$ . Since  $r, s \in P$  we must have  $r \mid a$ ,  $s \mid a$ , thus  $rs \mid a$ , a contradiction. Hence  $rs \in P$ . Thus if  $r = \prod p_i^{a_i}$ , where  $p_i \in P$  for all  $i$ , then  $p_i^{a_i} \in P$  for all  $i$ , and since the  $p_i^{a_i}$  are relatively prime, we conclude  $r \in P$ .

Finally suppose  $r \notin P$  and  $s$  is any positive integer. Since  $r \notin P$  there is an  $a$  such that  $2^k a \equiv -a \pmod{r}$ ,  $1 \leq a \leq r - 1$ . Then  $2^k as \equiv -as \pmod{rs}$ ,  $1 \leq as \leq rs - 1$ . Thus  $rs \notin P$ .

The preceding paragraph shows that if  $r \in P$  and  $d$  divides  $r$ , then  $d \in P$ . Thus if  $r \in P$ , all the prime divisors of  $r$  are in  $P$ , which completes the proof.

#### REFERENCES

1. P. FEIN, B. GORDON, AND J. H. SMITH, On the representation of  $-1$  as a sum of two squares in an algebraic number field, *J. Number Theory* **3** (1971), 310-315.
2. H. HASSE, Über die Dichte der Primzahlen  $p$ , für die eine vorgegebene ganzrationale Zahl  $a \neq 0$  von durch eine vorgegebene Primzahl  $\ell \neq 2$  teilbarer bzw. unteilbarer Ordnung mod.  $p$  ist, *Math. Ann.* **162** (1965), 74-76.
3. A. HAJNAL, R. RADO, V. T. SÓS, (Eds.), "Infinite and Finite Sets (Proceedings of the 10th Bolyai Colloquium, Dedicated to P. Erdős)," Vol. III, p. 1549, North-Holland, Amsterdam, 1975.